

Form PTO-1449

Applicant: T. Wade Fallin et. al

Serial No.:

Filing Date: 06/20/2003

For: Method And Apparatus For Bone Plating

Sheet 1 of 5

Docket No. MLI - 06

Confirmation No.

Group:

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
<u>AL</u> 1	4,388,921	June 21, 1983	Franz Sutter
<u> </u> 2	4,484,570	November 27, 1984	Franz Sutter
<u> </u> 3	5,053,036	October 1, 1991	Stephan N. Perren
<u> </u> 4	5,057,111	October 15, 1991	Joon B. Park
<u> </u> 5	5,085,660	February 4, 1992	Kwan C. Lin
<u> </u> 6	5,151,103	September 29, 1992	Slobodan Tepic
<u> </u> 7	5,234,431	August 10, 1993	Arnold Keller
<u> </u> 8	5,269,784	December 14, 1993	Jeffery W. Mast
<u> </u> 9	5,364,399	November 15, 1994	Gary Lowery
<u> </u> 10	5,501,684	March 26, 1996	Johannes F. Schiapfer
<u> </u> 11	5,520,690	May 28, 1996	Joseph P. Errico
<u> </u> 12	5,531,746	July 2, 1996	Joseph P. Errico
<u> </u> 13	5,549,612	August 27, 1996	Ronald A. Yapp
<u> </u> 14	5,578,034	November 26, 1996	Bradley T. Estes
<u> </u> 15	5,591,166	January 7, 1997	Andrew Bernhardt
<u> </u> 16	5,601,553	February 11, 1997	Linda Trebing
<u>AL</u> 17	5,643,265	July 1, 1997	Joseph P. Errico

Examiner:

Anwarul Karim

Date Considered:

11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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—	31	6,228,085	May 8, 2001	Randall R. Theken
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—	33	6,258,089	July 10, 2001	Christopher M. Campbell
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—	35	6,331,179	December 18, 2001	Jim Freid
↓	36	6,342,055	January 29, 2002	Lukas G. Eisermann
<u>AL</u>	37	6,383,186	May 7, 2002	Gary K. Michelson

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Anuradha Ramana

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<u>AL</u> 38	6,398,783	June 4, 2002	Gary K. Michelson
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<u>AK</u> 58	US 2003/0045880A1	March 6, 2003	Gary K. Michelson
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<u>AK</u> 60	US 2003/0093082 A1	May 15, 2003	Christopher M. Campbell

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67	EP1169971A2	January 9, 2002	Christopher M. Campbell
68	WO 95/35067	December 28, 1995	Sven Olerud
69	WO 96/08206	March 21, 1996	Kevin T. Foley
70	WO 97/22306	June 26, 1997	Kevin T. Foley
71	WO 98/34553	August 13, 1998	Gary K. Michelson
72	WO 98/51226	November 19, 1998	Robert A. Farris
73	WO 99/05968	February 11, 1999	Frank Bono
<u>AK</u> 74	WO 99/21502	May 6, 1999	Robert S. Jr. Bray

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Date Considered:

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<u>AL</u> 75	WO 02/098276	December 12, 2002	Gary K. Michelson
<u>AL</u> 76	WO 03/028567A1	April 10, 2003	Harry Hall

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

Author

Title

Year

<u>AL</u> 77	Theken	Tether Anterior Cervical Fixation System (Brochure)	2002
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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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